



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2812
Examiner: Not yet assigned

In Re PATENT APPLICATION Of:

Applicants: Noriko TOMITA et al.

Serial No.: 10/660,490

Filed: September 12, 2003

For: SEMICONDUCTOR SUBSTRATE
SURFACE PROTECTION METHOD

Docket No.: OHG135

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)
) **INFORMATION**
) **DISCLOSURE**
) **STATEMENT WITH**
) **CERTIFICATION**
)
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted in compliance with the timing requirements of 37 C.F.R. § 1.97(b)(3), i.e., prior to a First Office Action on the merits.


Attached is an English-language abstract and translation of a Japanese patent publication No. 7-275813, which was cited in the attached Japanese Office Action dated May 25, 2004. The Action was issued by the Japanese Patent Office in connection with a Japanese counterpart application and discusses the Japanese patent publication. Also attached is an English-language translation of the Japanese Office Action. Any relevance of the documents may be gleaned from the abstract, translations and Office Action. Also listed for consideration is a U.S. Patent, which corresponds to a Japanese patent application publication No. 11-102890, which was also cited in the Japanese Office Action. The attached Japanese patent publication's abstract and translation and the U.S. Patent are listed on the attached Form PTO-1449.

It is hereby certified that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Since this Information Disclosure Statement is being filed before a first office action on the merits, no fee is required, and the requirements of 37 C.F.R. §§ 1.97 and 1.98 are deemed to be fully met as to all listed documents. Consideration of the listed documents is respectfully requested.

Respectfully submitted,

August 4, 2004
Date


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RHB:vm

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT				Atty. Docket		Application No.	
				OHG 135		10/660,490	
				Applicant Makoto TERUI			
				Filing Date November 28, 2003		Group 2812	
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	US 5,932,493	08/03/99	AKATSU et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation
	AI	7-275813	10/24/95	JAPAN			Abstract and Translation
	AJ						
	AK						
	AL						
	AM						
	AN						
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AO						
Examiner					Date Considered		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							